JC04 Rec'd PCT/PTO 06 JUL 2005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 2947.1008-004

U.S. APPLICATION NO. (If known, see 37 CER 1.5) 5

INTERNATIONAL APPLICATION NO. PCT/US03/41698

INTERNATIONAL FILING DATE 31 December 2003

EARLIEST PRIORITY DATE CLAIMED 06 January 2003

TITLE OF INVENTION

Indole Derivatives as PPAR Modulators

APPLICANT(S) FOR DO/EO/US Scott Eugene Conner, James Allen Knobelsdorf, Nathan Bryan Mantlo, Daniel Ray Mayhugh, Xiaodong Wang, Guoxin Zhu, Jeffrey Michael Schkeryantz and Pierre-Yves Michellys

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is attached hereto (required only if not communicated by the International Bureau).
 - b. [] has been communicated by the International Bureau.
 - . [X] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [] is attached hereto.
 - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - b. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [X] have not been made and will not be made.
- 3. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. w/PTO Form 1449 (3 Pgs) and copies of references
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment (44 pgs)
- 14. [] An Application Data Sheet under 37 CFR 1.76.
- 15. [] A substitute specification.
- 16. [] A power of attorney and/or change of address letter.
- 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. [] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information:

Copy of the International Search Report (accompanies the attached Information Disclosure Statement)

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|--|---|-------------|--|-------------|-------------------------|------------------------|--------------|--|
| U.S. APPLICATION | 5°4°1-535 | (FIS).5) II | NTERNATIONAL APPLICATION PCT/US03/41698 | I NO. | ATTORNEY'S 2947.1008 | DOCKET NUMBER -004 | | |
| The follow | ing fees have be | en subm | itted | | | CALCULATIONS | PTO USE ONLY | |
| 21. [X] Basic national fee (37 CFR 1.492(a)) | | | | | \$300 | \$300.00 | | |
| 22. [X] Examination fee (37 CFR 1.492(c)) | | | | | | | | |
| If the written op | | | | | | | | |
| examination rep | ort prepared by | | | | | | | |
| PCT Article 33(| 1)-(4) | | | | | | | |
| All other situation | | \$200 | | | | | | |
| | 23. [X] Search fee (37 CFR 1.492(b)) | | | | | | | |
| | If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article | | | | | | | |
| 33(1)-(4) | | | | | | | | |
| Search fee (37 C | | \$0 | | | | | | |
| | | | uthority | | | | | |
| | | | | | | | | |
| | International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB | | | | | | | |
| • | • | | | | | \$400 | | |
| | - | | OF 21, 22 and 23 = | | | \$900 | | |
| [] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| I he lee is s | 5250 for each ac | · | <u> </u> | | | | | |
| Total Sheets | Extra sheets | | er of each additional 50 o of (round up to a whole r | I RAIH | | | | |
| 1-235 = | 135 3/50 | | 3 | x \$250 | | \$750 | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | | | \$ | | |
| CLAIMS | | | Έ | | | | | |
| Total claims | 34 | - 20 = | 14 | x \$ | 50 | \$700 | | |
| Independent cla | ims 2 | 3 = | 0 | x \$2 | 00 | \$0 | | |
| | | | <u> </u> | + \$360 | | \$360 | | |
| | | | | | | \$2,710 | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | | |
| [] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | \$ | | | |
| | | | | SU | BTOTAL = | \$2,710 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | | \$ | | |
| | | \$2,710 | | | | | | |
| Fee for recordin | g the enclosed a | | | | | | | |
| accompanied by | | \$ | | | | | | |
| · · · · · · · · · · · · · · · · · · · | ••• | \$2,710 | | | | | | |
| | | | | TAL FEES EN | | Amount to be refunded: | \$ | |
| | | | | | | Amount to be charged: | \$ | |

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| a. | [X] | A check in the amount of \$2,710 to cover the about | ve fees is enclosed. | | | | |
|--|--|---|---|--|--|--|--|
| b. | [] | Please charge my Deposit Account No. 08-0380 of this sheet is enclosed. | n the amount of \$[] to cover the above fees. A duplicate copy | | | | |
| c. | [X] | The Commissioner is hereby authorized to charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A duplicate copy of this sheet is enclosed. | | | | | |
| SEN Stev Cus HA 530 P.O | D ALL Coven G. D tomer N MILTO: Virgini . Box 91 | DRRESPONDENCE TO: Davis, Esq. To. 021005 N, BROOK, SMITH & REYNOLDS, P.C. To Road | Application to pending status. Kraig K. Anderson NAME | | | | |
| Concord, MA 01742-9133 | | | 54,961 REGISTRATION NUMBER | | | | |
| | | | REGISTRATION NUMBER | | | | |